

<b>TRANSMITTAL INFORMATION DISCLOSURE</b>		Attny. Docket No. 2002B044A	
<b>STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d)</b>		107509530	
In Re Application of: <b>Duncan et al.</b>		DT04 Rec'd PCT/PTO 24 SEP 2004	
U.S. Serial No. <b>Unassigned</b>	Filing Date <b>Attached hereto</b>	Examiner <b>Unassigned</b>	Group Art Unit <b>Unassigned</b>

Address to:  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**37 CFR § 1.97(b)**

- ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

**37 CFR § 1.97(c)**

- ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:

1. a Final Action under § 1.113,
2. a Notice of Allowance under § 1.311, or
3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

- ☐ the fee as set forth in § 1.17(p), or
- ☐ the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

**37 CFR § 1.97(d)**

- ☐ The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Applicant hereby requests consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith.

10/509530

DT04 Rec'd PCT/PTO 24 SEP 2004

☒ Legible copies of references are enclosed.

☐ This application is a:

☐ Continuation / Divisional,

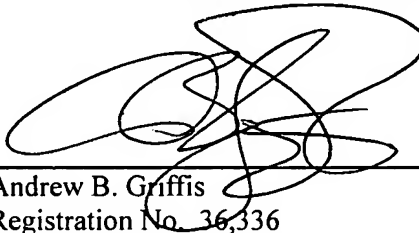
☐ Continuation-in-Part,

of U.S.S.N. \_\_\_\_\_. Copies of the cited references are  
☐ enclosed; ☐ not enclosed. References are available in the parent application(s) if not enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to Deposit Account Number 05-1712. A duplicate copy of this form is enclosed.

24 September 2004

Date



Andrew B. Griffis  
Registration No. 36,336  
ExxonMobil Chemical Company  
Law Technology  
P.O. Box 2149  
Baytown, Texas 77522-2149  
(281) 834-1886 Voice  
(281) 834-2495 Facsimile

<b>INFORMATION DISCLOSURE STATEMENT</b>  <i>(Use several sheets if necessary)</i>					ATTY. DOCKET NO.		SERIAL NO.	
					2002B044A		107509530 <i>Unassigned</i>	
					APPLICANT			
					Duncan et al.			
					FILING DATE		GROUP	
Attached hereto		Unassigned						
U.S. PATENT DOCUMENTS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	AA	3,231,621	1/25/66	Slaugh	260	604		
	AB	3,239,566	3/8/66	Slaugh et al.	260	604		
	AC	3,239,569	3/8/66	Slaugh et al.	260	632		
	AD	3,239,570	3/8/66	Slaugh et al.	260	632		
	AE	3,239,571	3/8/66	Slaugh et al.	260	632		
	AF	3,420,898	1/7/69	Van Winkle et al.	260	632		
	AG	3,440,291	4/22/69	Van Winkle et al.	260	632		
	AH	3,448,157	6/3/69	Slaugh et al.	260	604		
	AI	3,448,158	6/3/69	Slaugh et al.	260	604		
	AJ	3,496,203	2/17/70	Morris et al.	260	439		
	AK	3,496,204	2/17/70	Morris et al.	260	439		
	AL	3,501,515	3/17/70	Van Winkle et al.	260	439		
	AM	3,527,818	9/8/70	Mason et al.	260	632		
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AN	WO 97/17290	5/15/97	PCT				
	AO	EP 0293032	5/11/88	Europe				
OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)								
	AP	Industrial Chemicals, Third Edition, pp. 60-62, W.L. Faith et al., John Wiley & Sons, Inc.						
EXAMINER					DATE CONSIDERED			
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line though citation if not in conformance and not considered. Include copy of this form with next comment to applicant								

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

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Unassigned

APPLICANT

Duncan et al.

FILING DATE

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GROUP

Unassigned

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AQ	3,960,978	6/1/76	Givens et al.	260	683.15	
	AR	4,076,842	2/28/78	Plank et al.	423	328	
	AS	4,150,062	4/17/79	Garwood et al.	260	673	
	AT	4,211,640	7/8/80	Garwood et al.	208	255	
	AU	4,277,992	10/14/80	Garwood et al.	208	46	
	AV	4,298,547	11/3/81	Young	260	505	
	AW	4,439,409	3/27/84	Puppe et al.	423	328	
	AX	4,547,613	10/15/85	Garwood et al.	585	533	
	AY	4,826,667	5/2/89	Zones et al.	423	277	
	AZ	4,855,527	8/8/89	Page et al.	585	527	
	BA	4,870,038	9/26/89	Page et al.	502	62	
	BB	4,954,325	9/4/90	Rubin et al.	423	328	
	BC	5,026,933	6/25/91	Blain et al.	585	7	
	BD	5,236,575	8/17/93	Bennett et al.	208	46	
	BE	5,250,277	10/5/93	Kresge et al.	423	329.1	
	BF	5,284,989	2/8/94	Apelian et al.	585	533	
	BG	5,362,697	11/8/94	Fung et al.	502	71	
	BH	5,849,960	12/15/98	Singleton et al.	568	909	
	BI	6,077,498	6/20/00	Diaz Cabanas et al.	423	702	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next comment to applicant